

FWC BENEFICIARIES 2009 - LOT 7
Support to potential beneficiaries of the
Open Call for Proposals (NP 2012) in Iceland

Norman Pearson



Review

Information Events

- **Reykjavik –**
10 Sep: 160 participants
- **Akureyri –**
11 Sep: 14 participants
- **Egilsstadir –**
12 Sep: 26 participants
- **Web based presentation:**
30 persons

Workshops

- **Reykjavik –**
2 – 3 Oct: 110 participants
- **Egilsstadir –**
4 – 5 Oct: 13 participants
- **Akureyri –**
8 – 9 Oct: 29 participants
- **Isafjordur –**
10 – 11 Oct: 11 participants

Iceland 2020 - Call for Proposals

Call For Proposals

Strand I

- Reduce the unemployment 7% in 2010 to 3% by 2020.
- 4% of GDP R&D. Investment by the private sector shall be 70% against a 30% contribution from the public sector.
- High-tech industry 10% of the GDP and 15% of the value of exports.
- That eco-innovation annual growth in turnover of 20%, double 2011 and 2015.

Strand II

- People receiving disability pensions from 6.9% to 5.7%.
- Well-being index rise from 26,6 in 2009 to 28 in 2020.
- To reduce 20-66, with no formal secondary education, from 30% to 10%.

Target Activities

Strand I

- Tourism and extending the tourism season
- Health and wellbeing
- 'Pure' food and water
- Finished food products
- Knowledge and research centres
- Enhance eco-innovations and use of eco-friendly energy

Strand II

- Labour market and education
- Labour market and activation
- Labour market and Social inclusion

Project Development / Delivery

- Problem Tree
- Solutions Tree
- Project Design
- Stakeholder (Partner) Analysis
- Logframe Matrix (Annex C - Application)
- Project Implementation Management
- Project Review

Call for Proposals – Relevance of the Action

- **1.2.1 Relevance to the objectives/sectors/themes/specific priorities of the call for proposals**
Strategies – Iceland 2020, Tourism, Regional, Local
- **1.2.2 Relevance to the particular needs and constraints of the target country/countries, region(s) and/or relevant sectors (including synergy with other EU initiatives and avoidance of duplication)**
Problem Tree, Solution Tree, Logframe
- **1.2.3 Describe and define the target groups and final beneficiaries, their needs and constraints and how the action will address these needs**
Problem Tree, Solution Tree, Logframe, Stakeholder Analysis
- **1.2.4 Particular added-value elements**
Solution Tree,
- **DESCRIPTION OF THE ACTION**
- Background to the preparation of the action.
- Describe the objectives of the action.
- Describe the key stakeholder groups,
Problem Tree, Solution Tree, Stakeholder Analysis

Call for Proposals Process

Call for Proposals; key information 1

- Minimum 2 Partners plus lead applicant = 3
- Contribute to 7 of the 20 objectives set in Iceland 2020;

Strand 1. Reduce unemployment to 3%
4% GDP allocated to R&D
High Tech Industry 10% GDP and 15% Export
Eco-innovation growth in turnover 20%

Strand 2. Reduce % people on disability to 5.7%
Improve well being / mental health index to 28
Reduce 20 – 66 with no formal education to 10%

Call for Proposals; key information 2

- Funding Max €8,275,000
- Minimum: €200k
- Maximum: Strand 1 €500k or €750k if at least 3 regions and at least 6 partners.
Strand 2 €1m
- Capital costs cannot exceed 20% of the EU funding
- Grant cannot exceed 75% of total eligible costs or be less than 50% of total eligible costs
- Minimum 12 months Maximum 24 months

Potential Applicant Data On-Line Registration (PADOR)

- **Register!** – it is an obligation to participate in the call
- Provides; General organisational profile, financial data, board members etc.
- Database used as part of the evaluation process

Responsibilities of all Applicants

All applicants are responsible for ensuring:

- the project's sound financial management;
- the implementation, reporting, monitoring and control of the project, specifically, for ensuring no double funding for approved activity;
- the retention and availability of all project documentation with a clear audit trail through to bank statements in each case.

Additional Responsibilities of Lead Applicant

The lead applicant is responsible for ensuring:

- a clear relationship between partners participating in the project;
- that all expenditure presented by and declared on behalf of the partners participating in the project has been **incurred and defrayed** for the purpose of implementing the project and **that it has met all other rules with regard to the programme;**
- the availability and retention of all project documentation including those of all other partners **with a clear audit trail through to bank statements in each case**
- provision by other partners in the event of any requests for information etc.
- **any recovery of grant it will be pursued from the lead applicant.**

Call For Proposals - Scoring

1. Relevance of the action	Sub-score 30	
1.1 <i>How relevant</i> is the proposal to <i>the objectives and priorities</i> of the Call for Proposals?*	5x2*	
1.2 <i>How relevant</i> to the particular <i>needs and constraints</i> of the target country or region(s) is the proposal? (including synergy with other EU initiatives and avoidance of duplication) Multiregion effect and <i>regional transferability</i> of the results and impact of the action - Does the action have direct impact on more than one region in Iceland? - Does the project serve as demonstration of what future Structural Funds projects may involve and projects that could be expanded to be included in a future project pipeline that will be developed in parallel?	5x2*	
1.3 How clearly defined and <i>strategically chosen</i> are those involved (final beneficiaries, target groups)? Have their needs been clearly defined and does the proposal address them appropriately?	5	
1.4 Does the proposal contain specific added-value elements, such as <i>environmental issues, promotion of gender equality and equal opportunities</i> , needs of disabled people, rights of minorities and rights of indigenous peoples, or innovation and best practices?	5	

Call For Proposals - Scoring

2. Design of the action	Sub-score	20
2.1 How coherent is the overall design of the action? In particular, does it reflect the <i>analysis of the problems</i> involved, take into account external factors and relevant stakeholders?	5x2*	
2.2 Is the action <i>feasible and consistent</i> in relation to the objectives and expected results?	5x2*	

EVALUATION OF THE FULL APPLICATION

Stakeholder Analysis !

Minimum 12!

1. Financial and operational capacity	20
1.1 Do the applicant and, if applicable, partners have sufficient experience of project management?	5
1.2 Do the applicant and, if applicable, partners have sufficient technical expertise? (notably knowledge of the issues to be addressed.)	5
1.3 Do the applicant and, if applicable, partners have sufficient management capacity? (including staff, equipment and ability to handle the budget for the action)?	5
1.4 Does the applicant have stable and sufficient sources of finance?	5
2. Relevance of the action	30
<u><i>Score transferred from the Concept Note evaluation</i></u>	

EVALUATION OF THE FULL APPLICATION

3. Effectiveness and feasibility of the action	20
3.1 Are the activities proposed appropriate, practical, and consistent with the objectives and expected results?	5
3.2 Is the action plan clear and feasible?	5
3.3 Does the proposal contain <i>objectively verifiable indicators</i> for the outcome of the action? Is <i>evaluation foreseen</i> ?	5
3.4 Is the partnership appropriate in terms of:	5
<ul style="list-style-type: none"> - Partners relevance to the objectives of the action - Partnership composition appropriate to the action - Partners capacity to participate in the action - Partners' level of involvement and participation in the action satisfactory? 	

Logical framework

Annex 3

Stakeholder Analysis !

EVALUATION OF THE FULL APPLICATION

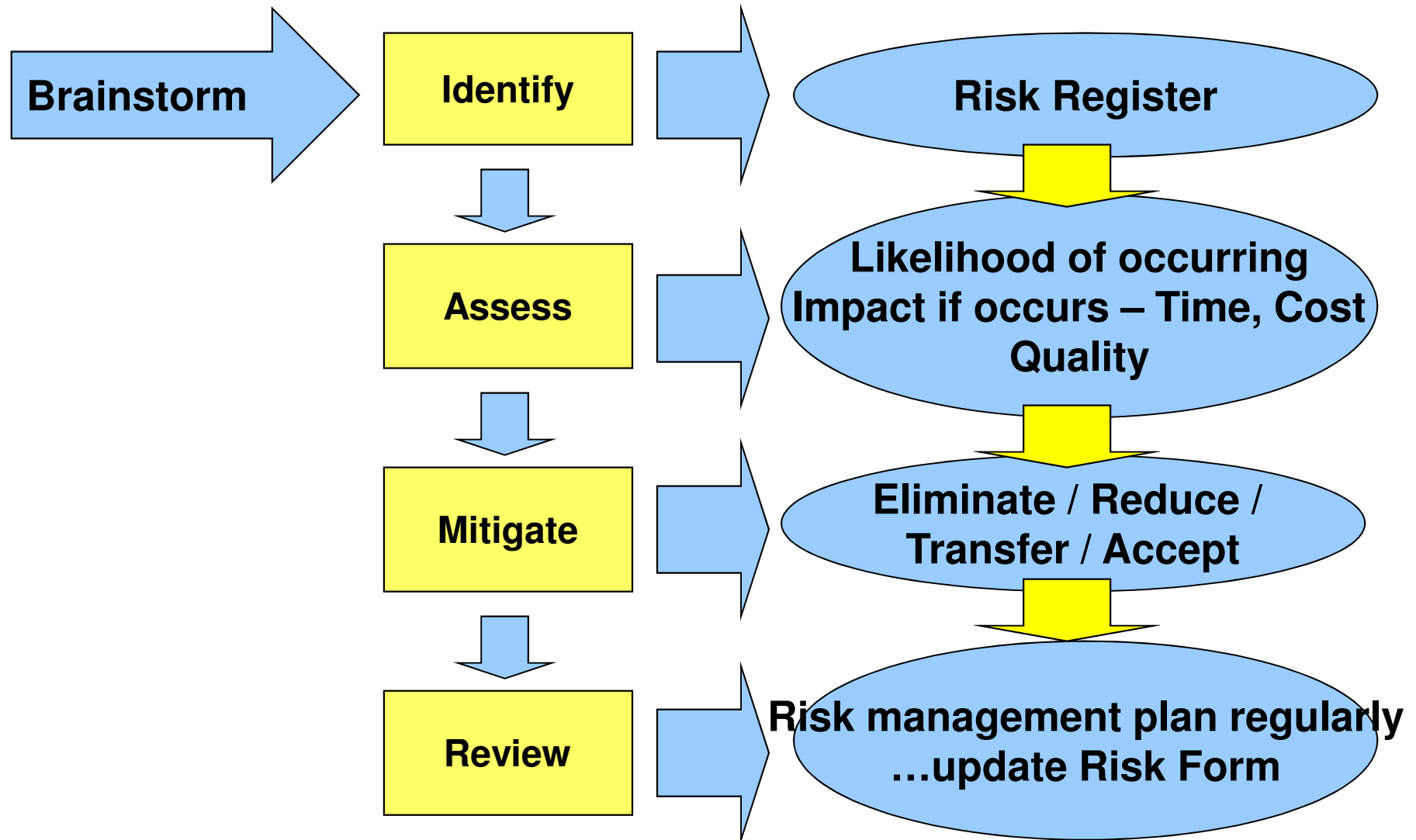
4. Sustainability of the action	15
4.1 Is the action likely to have a tangible impact on its target groups?	5
4.2 Is the proposal likely to have <i>multiplier effects</i> ? (Including scope for replication and extension of the outcome of the action and dissemination of information.)	5
4.3 Are the expected results of the proposed action sustainable: <ul style="list-style-type: none"> - financially (<i>how will the activities be financed after the funding ends?</i>) - institutionally (<i>will structures allowing the activities to continue be in place at the end of the action? Will there be local “ownership” of the results of the action?</i>) - at policy level (where applicable) (<i>what will be the structural impact of the action — e.g. will it lead to improved legislation, codes of conduct, methods, etc?</i>) - environmentally (if applicable) (<i>will the action have a negative/positive environmental impact?</i>) 	5

EVALUATION OF THE FULL APPLICATION

5. Budget and cost-effectiveness of the action	15
5.1 Are the activities appropriately reflected in the budget?	5x2*
5.2 Is the ratio between the estimated costs and the expected results satisfactory?	5

Project Risk Management Process

(see 2.2.4 of Grant Application Form)



Project Implementation Tools 2.2.3

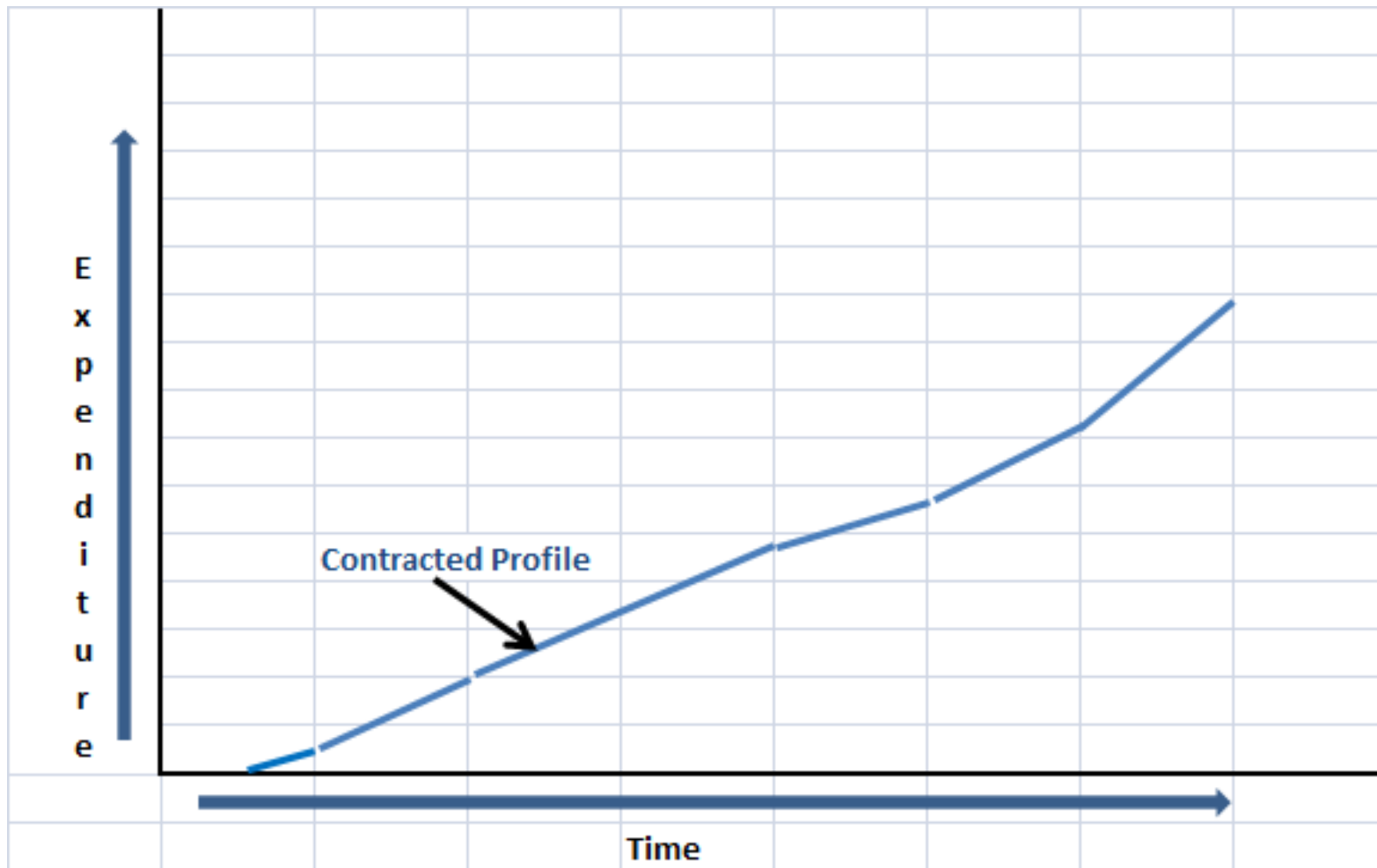
GANTT Chart - Susanne's House

	Qtr 1 2012			Qtr 2 2012			Qtr 3 2012			Qtr 4 2012		
Task	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Planning	■											
Base		■										
Walls				■								
Roof								■				

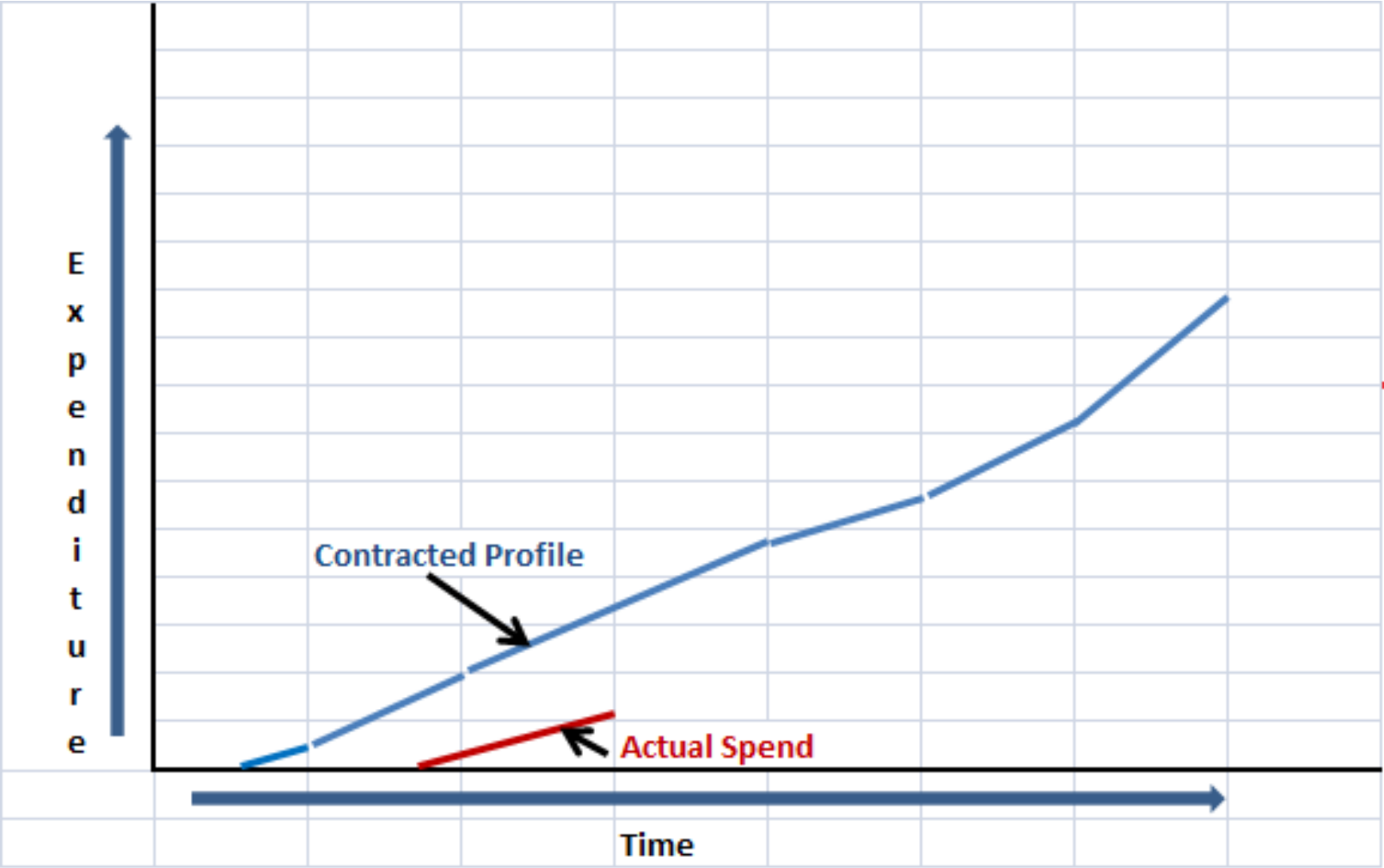
A Gantt chart shows you what has to be done and when.



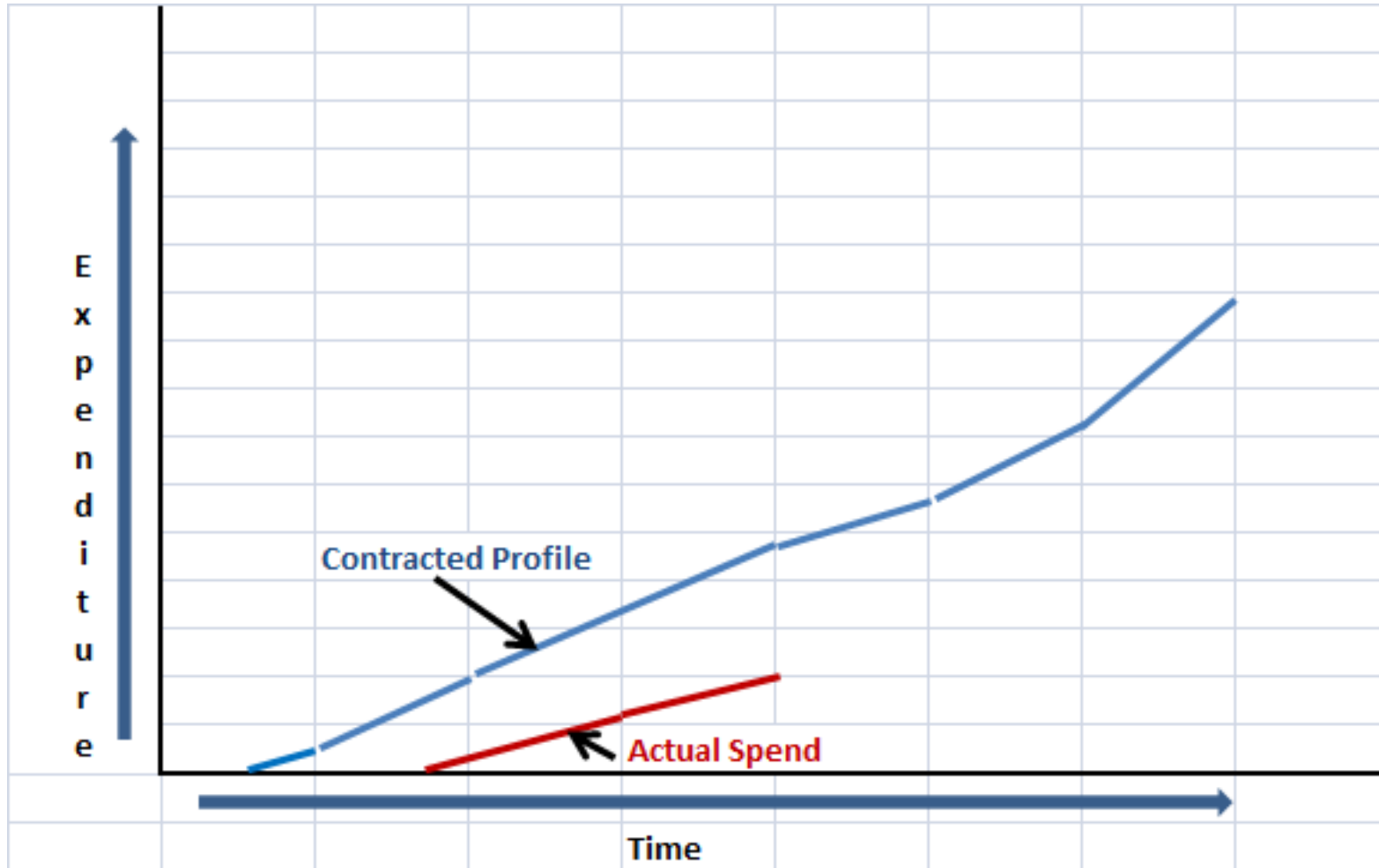
Project Implementation Tools



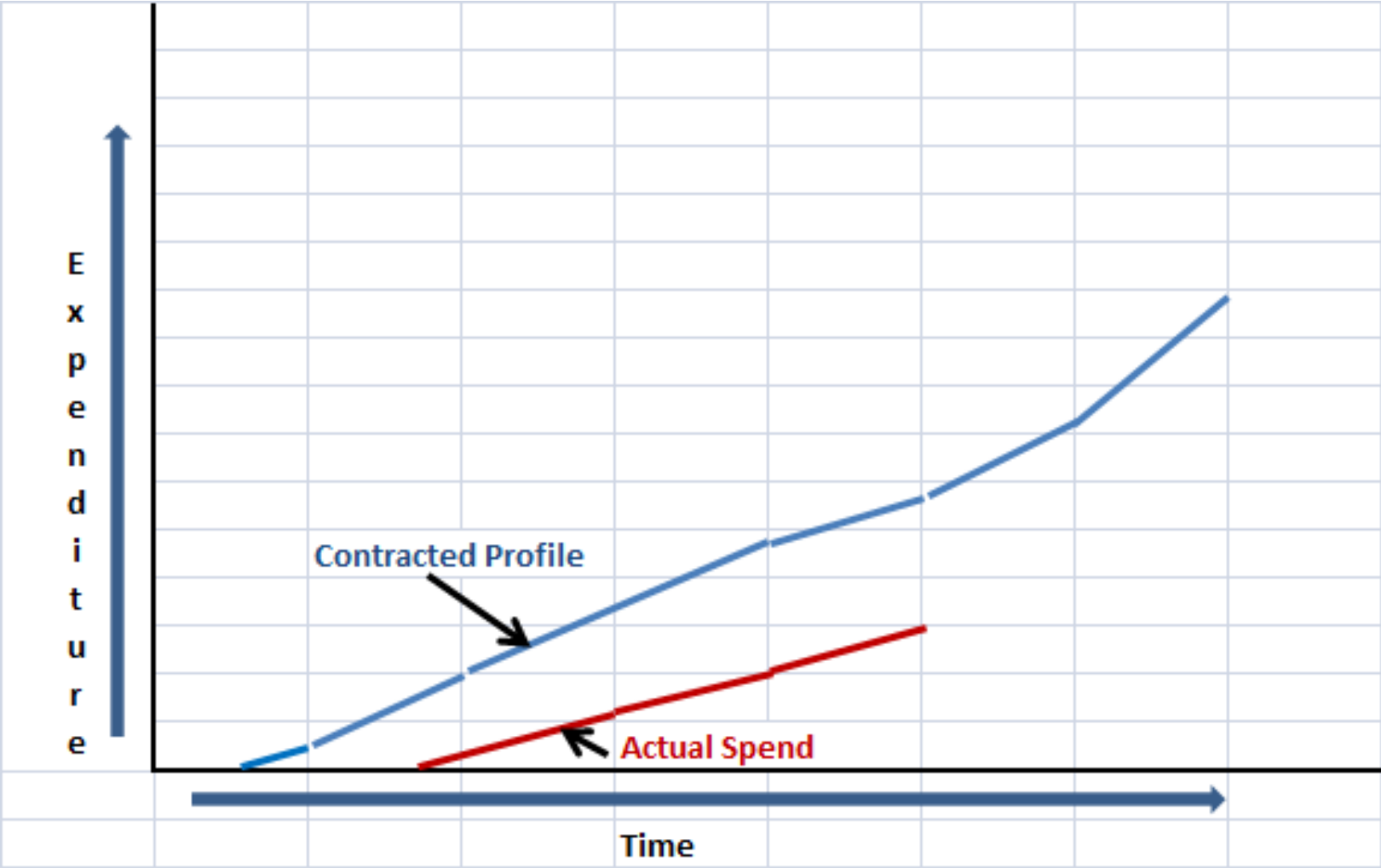
Project Implementation Tools



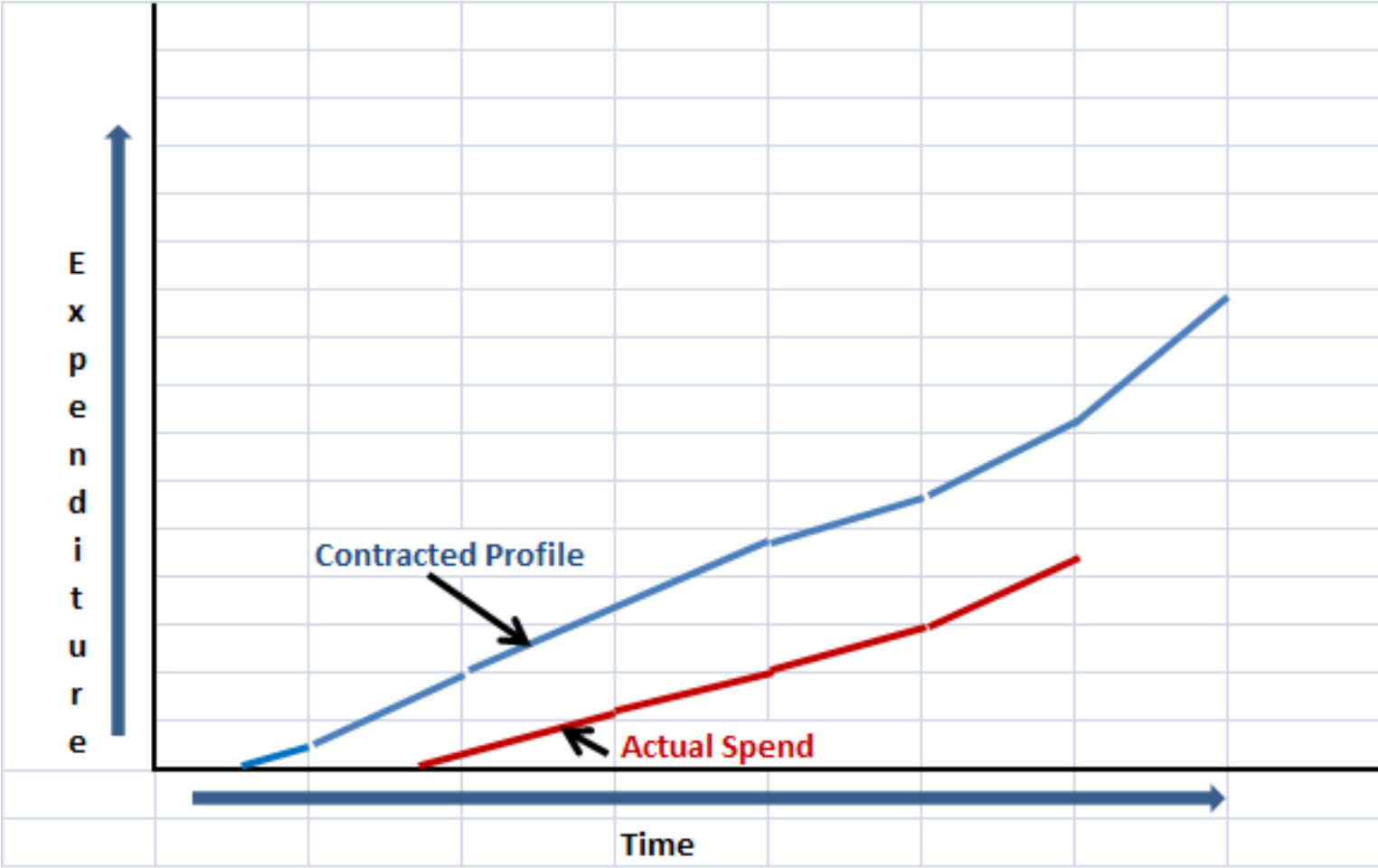
Project Implementation Tools



Project Implementation Tools



Project Implementation Tools



Project Implementation Tools



Procurement – Annex 4

GENERAL PRINCIPLES

- If the implementation of an Action requires procurement by the Beneficiary, the contract must be awarded to the most economically advantageous tender (ie, the tender offering the best price-quality ratio), in accordance with the principles of transparency and fair competition for potential contractors and taking care to avoid any conflicts of interest.

Procurement – Annex 4

RULES

- Contracts of € 200 000 or more must be awarded by means of an international restricted tender procedure following publication of a procurement notice.
- Contracts under € 200 000 must be awarded by means of a negotiated procedure without publication, with at least three service providers of its choice and negotiates the terms of the contract with one or more of them.
- For services of a value of € 10 000 or less, may place orders on the basis of a single tender.

Claims

- First instalment of pre-financing (80% of the part of the forecast budget for the first 12 months of implementation);
- Further pre-financing instalments designed to normally cover the financing needs for each twelve month period of implementation
- Paid within 45 days, as from the date of reception by the Contracting authority of signed Contract accompanied by the financial guarantee

Reporting

- **Pre Financing Guarantee** (Annex 8)
- **Forecast Budget** for the subsequent 12-month period
- **Interim Report** (Annex 6) - must accompany every request for payment – Quarterly?
- **Final Report** (Annex 6) - no later than three months after the implementation period
- **Financial Report** (Annex 6) – required with each Interim or Final Report

Visibility



- Beneficiaries must comply with the Communication and Visibility Manual for EU External Actions laid down and published by the European Commission
- Information should mention the Action and the European Union's financial contribution in information given to the final recipients of the Action, in internal and annual reports, and in any dealings with the media.
- Beneficiaries shall display the EU logo wherever appropriate.